FundsNetwork™

Corporate Re-registration

Application form - you can re-register Investment Fund Accounts using this form.

How to fill in this form:

- Please use black ink and write clearly inside the boxes provided using capital letters
- Mark your answers with a cross in the appropriate box like this: ☒
- If you make a mistake, please correct it but don't use correction fluid
- Any applications received that are not completed correctly may incur delays or could be returned to you
- If you have any queries about this form please ask your adviser, or ring ServiceLine on **0800 358 4060**.

What's next?

Please send your completed application form to:

Fidelity, PO Box 391, Tadworth, KT20 9FU

To re-register funds you need only complete one application form. However you will need to complete and return, at the same time, one Letter of Authority for each fund company or platform you are re-registering from.

Note - Re-registration allows you to transfer your existing investments without cashing them in. To re-register, we may require your existing provider to first convert investments into share classes that we hold before we re-register them. Where an investment cannot be re-registered it will be sold and the balance transferred into cash within your account.

If we have a discounted or cleaner version of the investment available on our platform, we will convert to this after the re-registration. You can choose to opt-out of the post re-registration conversions here, but please note you cannot opt-out of converting a bundled fund.

Opt out of the post re-registration conversion ☐

Please Note: For Scheme or Trust Accounts we register the account in the name of the Trustee(s).

1 Company Details

Company name

Company house registration number
(mandatory for UK companies)

Verification requirements

A current and dated list of specimen signatures on company letterhead of all authorised signatories, signed by the company secretary or officially published booklet of authorised signatories. ☐

Please note, we may need to request additional documentation once the application has been processed, in order to comply with Money Laundering Regulations.

2 Registration Details

Phone number

Account number or Customer Reference Number
(existing customers only)

Email

Registered address - 'Care of' and PO Box are not acceptable. Only UK addresses are eligible.

Building number/name

Street, city, county and country

Postcode

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3 Investment Fund Account holding to be re-registered (and converted where applicable)

Please specify in the boxes below whether you wish your holding to be invested into an existing account or a new account.

Existing FundsNetwork Investment Fund Account
Please re-register my investment to the existing FundsNetwork Investment Fund Account specified here.

Existing FundsNetwork Investment Fund Account number

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Existing FundsNetwork Account Designation
(If this account has a designation assigned to it please enter it below)

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OR

New FundsNetwork Investment Fund Account
Please re-register my investment to a new FundsNetwork Investment Fund Account.

New FundsNetwork Account Designation
NB: If you already have an existing account you must enter a designation to identify this new account, otherwise this investment will be treated as a top up into your existing account. The maximum number of characters is 18. Please note that we can not accept the word 'trust'.

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Are there any existing Joint Holders on this account to be re-registered? ☐ If yes please mark this box

If any of the applicants for this investment are a Corporate Body please complete their details in Section 1. A maximum of 3 holders are permitted in addition to the Corporate body.

All holders, already on the account to be re-registered, must sign the last page of this form. The Joint Holder Supplement Form must also be completed and returned with this application form.

Please now continue to section 4

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4 Bank Account Details

Any bank account details given in this section will override existing bank details that we may hold for you. This section must be completed and the details provided will be used for:

- Paying income out from any re-registered Income funds.
- Any future redemption payment.

Income - If the investment you are re-registering is in an income fund and you wish to have income paid out once re-registered (and converted where applicable), please mark an X in the box. The income will be reinvested automatically unless you indicate otherwise.

I wish to receive income from my **Investment Fund Account** ☐

Please make sure that you sign and date the instruction below.

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Please fill in the whole form using a ballpoint pen and send to:
Fidelity, PO Box 391, Tadworth, KT20 9FU.

Name and full postal address of your bank or building society

To: The Manager Bank/building society

Address

Postcode

Name(s) of account holder(s)

Branch sort code

Bank/building society account number

Signature(s)

Date

For a Building Society account please provide the following additional information to receive direct credit payments.

Building Society Collection Account Number (if applicable) *

* Building Society accounts – the building society collection account number can be obtained from your Building Society branch. Please ensure that your Building Society account will accept direct credit payments through the Banks Automated Clearing system. Fidelity does not accept instructions for payments to be made to an account other than the client's own personal account. If the account number and sort code are incorrect, Fidelity will not accept responsibility for any loss incurred by the applicant.

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5 Intermediary details - to be completed by the intermediary

Company stamp

Unique Adviser Number

Office Use Only
Please ignore

FCA number
I confirm that I am registered with the FCA to conduct business and my authorisation number is:

Remuneration details

Have you provided a personal recommendation?
(You must complete either the Yes or No box)

Yes – This option would default your remuneration type to Fee and override any alternate remuneration choice

No

Please note these assets will be added to your client's fee account and if Adviser Ongoing Fee has previously been setup this will be automatically applied. An Initial Fee cannot be applied to this type of instruction.

An Adviser Ongoing Fee cannot be applied to this type of investment using an application form. If you would like to setup an Adviser Ongoing Fee this must be done online once the assets have been received.

Verification of identity

I confirm and consent to Fidelity's reliance on the fact that I have verified the client in accordance with the UK Money Laundering Regulations and standards set in guidance issued by the JMLSG and will retain the supporting documentation for 5 years after the end of the relationship with the client.

Please contact your existing provider to confirm that they have no outstanding requirements regarding the verification of your identity prior to sending this application.

This confirmation must carry an original signature or electronic equivalent.
I/We confirm that I/we have provided the client with the appropriate documentation for their investment:

- The Key Features Document - Doing Business with FundsNetwork.
- The key information documents for any investments FundsNetwork will convert the client's holdings to as soon as we have received them from the existing provider (if applicable).
- The FundsNetwork Client Terms.

Intermediary signature

Date signed

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6 Declaration and signature

If you have selected to re-register (and convert where applicable) an Investment Fund Account, please sign below.

Your Investment Fund Account are managed by Financial Administration Services Limited, a Fidelity International Group company.

By signing this form I/we confirm that I am not/ we are not a US citizen, that I am not/we are not resident in the US, and that I do not/we do not have an obligation to pay tax to the US tax authorities on my/ our worldwide income.

I/We understand that the information I/we provide on this application form will be processed in accordance with FundsNetwork data protection statement contained in the FundsNetwork Client Terms. For your own benefit and protection you should read the FundsNetwork Client Terms carefully before signing them. If you do not understand any point or have not received one or all of the documents above please contact your adviser.

I/We have checked the eligibility status of my/our current investments using the online tool provided by Fidelity as part of this transfer journey and where applicable instruct Fidelity to convert holdings into the eligible fund share class.

I/We understand that such information will be held in confidence and not passed to any company other than as outlined without our permission or unless required by law.

I/We confirm that I/we have included the following information in order to complete this application: company documents; a complete list of company directors, together with specimen signatures (this should include details of signing rights, otherwise Fidelity will accept future instructions from two authorised directors, or one director and the company secretary); a certified copy of the relevant board minutes or written resolution of the directors, confirming that the company is authorised by its directors to invest corporate monies into collective investment schemes. I/We agree to provide Fidelity with written details of future changes of company directors together with specimen signatures.

I/We declare that:

- My adviser has provided me with the following documents either as an electronic version, which I/we have saved or printed, or as a paper copy and I/we have read:
  - The Key Features Document (Doing Business with FundsNetwork)
  - The key information documents for any investments that my/our holdings will be converted to as soon as you have received them from the existing provider (if applicable).
- I/We accept the FundsNetwork Client Terms.
- Where I/we have elected to convert my/our holdings into an alternative share class I/We understand that the charges of the new share class may differ from the share class currently held.
- The information given by me/us is correct to the best of my/our knowledge, and I/we will inform FundsNetwork immediately of any changes to the information contained therein.

Signing on behalf of others

If you are an attorney signing on behalf of the applicant, you must attach:

- an original sealed Court of Protection/Enduring Power of Attorney stamped by the Office of the Public Guardian (where the client is mentally or physically incapacitated), or
- Power of Attorney with a signed letter confirming that the client is prevented from signing the application as a result of their physical incapacity (in cases of physical incapacity only).

Copies of the Power of Attorney must, on every page, be certified as true copies with:

- the words 'I certify this to be a true copy of the original', and
- the certifier's signature and printed name, date, official stamp or professional capacity.

Documents can be certified by a solicitor, justice of the peace, notary public, commissioner of oaths or stockbroker.

Please sign the next page

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6 Declaration and signature (continued)

Signatures of all applicants

By signing here you confirm that you've read and completed all relevant sections as per the instructions on this form.

Two authorised signatories are required to sign on behalf of a corporate entity, in addition to any named individuals.

First corporate body signature

Print name

Second corporate body signature

Print name

Primary account signature

Print name

Second account signature

Print name

Third account signature

Print name

Fourth account signature

Print name

Date signed

Note:
For applications with joint holders the Joint Holder Supplement Form must also be completed and returned with this application form.

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