

New Account Application

ISA 2021/22
GIA

Use this form to open a new account, either as a existing or new customer to Fidelity.



- Your application will be processed quicker when completing this form online. Handwritten forms may take longer or incur delays

Use this form to:

- Open a new account
- Make a single payment
- Setup regular payments

Don't use this form if:

- You want to make payment from a third party or employer
- You want to open an account with joint holders or held in trust
- You are not resident in the UK for tax purposes

Important information

You should read all relevant documents before completing this form. You will find them at fidelity.co.uk/legal/before-you-invest

How to use this form

Please complete all sections of the form as indicated below. Your application may be delayed or sent back to you if the form is not completed properly. In some circumstances we may need to contact you.

Section	Section description	Mandatory
1	About you	Yes
2	Payment instructions	Yes (only complete the Direct Debit forms if you are setting up regular payments)
3	Investment Choices	Yes
4	Declarations and signature	

Did you know you can open a new account online in a few simple steps?

- 1** Go to fidelity.co.uk/open-account
- 2** Select the account you wish to open
- 3** If you're a new customer, you will register first and complete your dealing instruction

Why complete online?



If you have any questions in relation to this form, give us a free phone call on:

0800 41 41 61

Section 1 – About you (continued)

1.1 Account you are opening (continued)

Investment Account (continued)

First country

First country tax identifier

I have not been issued with a tax identifier If correct please mark an X in this box

Second country

Second country tax identifier

I have not been issued with a tax identifier If correct please mark an X in this box

1.2 About You – you must complete this

Mr Mrs Ms Other:

First name(s)

Surname



Address

You must be a UK resident to open an account. Residents of British Crown dependencies or overseas territories will not be able to open an account and we cannot accept 'Care of' or PO Box addresses.

To help us protect you from fraud we need to check your identity (this is also part of our anti-money laundering obligations). We can usually do this using an electronic verification system with the information you've already given us. This will create a record on your credit report, which will only be visible to you and will not affect your credit score.

House number

OR

House name

Postcode

Street, city, county and country

Crown Employee

If your address is outside of the UK and you are a Crown Employee or the spouse/civil partner of a Crown Employee, please mark an X in this box

BFPO address

If your address is outside of the UK and is a BFPO address or you are the spouse/civil partner with a BFPO address, please mark an X in this box

Section 2 – Payments

You can open a new account, make a single payment or set up regular payments online in minutes. You will have more benefits, such as additional collection dates for your regular payments and the option to invest in exchange-traded instruments.



Log in at fidelity.co.uk



Register at fidelity.co.uk/register

2.1 Source of investment – please select one



Due to regulatory requirements we must obtain information about the source of your contributions before we can accept your application.

Income from salary Divorce Settlement Gift Sale of Investment/transfer

Savings from income Sale of Property Inheritance

Other – please specify:

2.2 Lump sum investment



The ISA allowance for 2021/22 is £20,000

How much would you like to invest as a single payment?

£ • • (min £1,000) for ISA and Investment accounts
• (min £800 net of tax) for Personal Pensions

2.3 Regular Payments



There is a minimum investment amount of £25 per fund and £50 for each application.

Did you know?

By setting up or amending regular payments online you can choose from two addition collection dates. Along with the 10th and 25th you can also select the 1st or 17th of the month.

Collection amount

£ • • (min £50) for ISA and Investment accounts
• (min £40 net of tax) for Personal Pensions

Collection date – select one

10th **OR** 25th

Collection frequency – select one

Monthly Quarterly Every 6 Months Annually

Please allow twelve working days for us to set up your Regular Savings Plan.
Collections will then start on the next available payment date and follow the frequency you have selected.



Important note

- Complete Section 3 to confirm your investment choices
- If you are setting up regular payments please complete the Direct Debits form on the next page

Section 2 – Payments (continued)



ISA and Investment Account Direct Debit – for regular payments only



Instruction to your bank or building society to pay by Direct Debit



Please fill in the whole form using a ballpoint pen and send to:
Fidelity, PO Box 391, Tadworth, KT20 9FU.

Name and full postal address of your bank or building society

Service User Number

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Reference

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Name(s) of account holder(s)

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Instruction to your bank or building society

Please pay Financial Administration Services Ltd Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with Financial Administration Services Ltd and, if so, details will be passed electronically to my bank/building society.

Bank/building society account number

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Branch sort code

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Signature(s)

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Date

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Banks and building societies may not accept Direct Debit instructions for some types of account

DDI2



Personal Pension Direct Debit – for regular payments only



Instruction to your bank or building society to pay by Direct Debit



Please fill in the whole form using a ballpoint pen and send to:
Fidelity, PO Box 391, Tadworth, KT20 9FU.

Name and full postal address of your bank or building society

Service User Number

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Reference

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Name(s) of account holder(s)

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Instruction to your bank or building society

Please pay FIL SIPP Trustee (UK) Limited Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with FIL SIPP Trustee (UK) Limited and, if so, details will be passed electronically to my bank/building society.

Bank/building society account number

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Branch sort code

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Signature(s)

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Date

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Banks and building societies may not accept Direct Debit instructions for some types of account

DDI2

Section 3 Investment choices



- The ISA allowance for 2021/2022 is £20,000.
- There is a minimum lump sum of £1,000 and £25 per fund for a Regular Savings Plan
- This form is for investing into funds and cash only. Should you wish to invest in exchange traded investments, then please specify cash at this point. Then you can use our online service at fidelity.co.uk to make your investment later.
- Where we cannot follow your investment choice we will place your money in cash within your ac-

3.1 Investment Choices



Fund Code

- It's important to provide the correct Fidelity Fund Code, we will use this to determine your fund choice.
- You can find this information at fidelity.co.uk/funds by searching for your investment.

Fund Code	Fund Name	Single %	Regular %
EXAMPLE OF HOW TO COMPLETE THIS SECTION			
W A M A	Fidelity American Fund W ACC	6 0 %	2 0 %
J U S I A	Jupiter Strategic Bond Class Acc	4 0 %	8 0 %

Section 3 Investment choices (continued)

3.1 Investment Choices

Fund Code	Fund Name	Single %	Regular %
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Amount to be paid into cash within my account		<input type="text"/> <input type="text"/> <input type="text"/> %	<input type="text"/> <input type="text"/> <input type="text"/> %
Total		1 0 0 %	1 0 0 %

When we open your new account any income paying funds will automatically pay income to cash. This helps cover fees and charges. More income options are available online. Please go to fidelity.co.uk for details.

Section 4 – Declarations and signature

4.1 General declarations

I understand that the information I provide on this form will be processed in accordance with Fidelity's data protection statement contained in the Fidelity Client Terms / Fidelity SIPP Terms & Conditions. I declare that:

- By signing this form I confirm that I am not a US citizen, that I am not resident in the US, and that I do not have an obligation to pay tax to the US tax authorities on my worldwide income.
 - I have been provided and read the latest Key Features Document – Doing Business with Fidelity or Doing Business with FundsNetwork
- OR**
- I have been provided with and read the Fidelity SIPP Terms and Conditions, Fidelity SIPP Key Features Document and key information documents.
 - I have read the latest illustration document.
 - I have read the latest key Information document.
 - I accept the Fidelity Client Terms.
 - The information given by me/us is correct to the best of my knowledge and I/we will inform Fidelity immediately of any changes to the information contained therein.

4.2 Investment accounts

I declare that:

- All subscriptions made, and to be made, belong to me and that I am 18 years of age or over.
- All items covered in the General declarations above are true.

4.3 Stocks and Shares ISA

Your Fidelity ISA is managed by Financial Administration Services Limited, a Fidelity International Group company.

I apply to subscribe for a stocks and shares ISA for the tax year 2021/2022 and each subsequent tax year in which I choose to make a subscription.

I declare that:

- All subscriptions made, and to be made, belong to me and that I am 18 years of age or over.
- I have not subscribed and will not subscribe more than the overall subscription limit in total to any combination of permitted ISAs in the same tax year.
- I have not subscribed and will not subscribe to another Stocks and Shares ISA in the same tax year that I subscribe to this Stocks and Shares ISA.
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to or in a civil partnership with a person who performs such duties. I will inform Fidelity if I cease to be so resident or to perform such duties or to be married to, or in a civil partnership with, a person who performs such duties.
- I authorise Financial Administration Services Limited:
 - to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
 - to make on my behalf any claims to relief from tax in respect of ISA investments.

4.4 Personal Pension (SIPP)

I confirm that where I do not understand any or all of the information relating to my application for the Fidelity SIPP, I will seek suitable advice before proceeding.

- I agree to pay all the charges related to the Fidelity SIPP as set out on the Key Features Document.
 - I confirm that I will not request the withdrawal of monies held in my Fidelity SIPP Account to provide benefits for me or any income or distribution earned on those monies, other than in accordance with the Rules (as this term is defined in the Fidelity SIPP Terms & Conditions).
 - I confirm that any penalty or tax charge arising as a result of an unauthorised payment being made, except as a result of an error or omission in the part of the Fidelity SIPP Scheme Administrator (as this term is defined in the Fidelity SIPP Terms & Conditions), can be deducted from my Fidelity SIPP Account and paid to the Revenue. If there are insufficient funds in my Fidelity SIPP Account to cover this amount, I agree to pay the Scheme Administrator the balance of the penalty and/or tax charge.
- If contributions are to be paid:**
- a) I am under age 75 and a relevant UK individual under Section 189 of Finance Act 2004 and not a US person
 - b) The total of the member contributions paid to my Fidelity SIPP and to other registered pension schemes, on which I am entitled to tax relief, under Section 188 of Finance Act 2004, will not exceed, in any tax year, the higher of:
 - The basic amount (currently £3,600 gross); or
 - 100% of my relevant UK earnings (as defined in Section 189 of finance Act 2004 in the tax year);
 - from Fidelity in connection with any Regular Savings Plan and/or top-up.
 - c) the declaration in b) is correct, to the best of my knowledge and belief;
 - d) I will give notice to the Scheme Administrator if an event occurs, as a result of which I will no longer be entitled to relief on my contributions, under section 188 of Finance Act 2004. I will give this notice by the later of:
 - end of the tax year in which the event occurs; and
 - 30 days of the event.

I confirm that I have not received advice from Fidelity in connection with this Regular Savings Plan set-up and/or SIPP top-up.

Section 4 – Declarations and signature (continued)

4.5 Signature

Signing on behalf of others

If you are an attorney signing on behalf of the applicant, you must attach:

- an original sealed Court of Protection/Enduring Power of Attorney stamped by the Office of the Public Guardian (where the client is mentally or physically incapacitated); or
- Power of Attorney.

Attached with a signed letter confirming that the client is prevented from signing the application as a result of their physical incapacity (in cases of physical incapacity only).

Copies of the Power of Attorney must, on every page, be certified as true copies with:

- the words 'I certify this to be a true copy of the original', and
- the certifier's signature and printed name, date, official stamp or professional capacity.

Documents can be certified by a solicitor, justice of the peace, notary public, commissioner of oaths or a member of staff from a regulated financial services business.

Your signature

By signing here you confirm that you've read and completed all relevant sections as per the instructions on this form.

Signature

Print Name

Date signed (DDMMYYYY)



Print form

Next Steps

Paying by cheque

Lump sum payments should be made by cheque, payable to Fidelity. Cheques should be issued from a personal or joint bank account. Cheques must be payable to Fidelity and include the account holder name e.g. 'Pay Fidelity - re John Smith'. If an application is accompanied by a bankers draft or building society cheque, the reverse of the draft/cheque must contain the details of the original account debited; full name, account number and sort code. Cheques must be endorsed with the banks official stamp.

Where to send the form

Remove and keep the Direct Debit Guarantees then send the form to:



Fidelity
PO Box 391
Tadworth KT20 9FU

We will open your account on receipt and send you a Confirmation of Transaction and if you are a new customer, your welcome pack.

Important: A Direct Debit Guarantee should be retained by the payer.

The Direct Debit Guarantee



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit, Financial Administration Services Ltd will notify you ten working days in advance of your account being debited or as otherwise agreed. If you request Financial Administration Services Ltd to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by Financial Administration Services Ltd or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society – If you receive a refund you are not entitled to, you must pay it back when Financial Administration Services Ltd asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

The Direct Debit Guarantee



- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit, FIL SIPP Trustee (UK) Limited will notify you ten working days in advance of your account being debited or as otherwise agreed. If you request FIL SIPP Trustee (UK) Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by FIL SIPP Trustee (UK) Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society – If you receive a refund you are not entitled to, you must pay it back when FIL SIPP Trustee (UK) Limited asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.