

Inherited ISA allowance form

To open an ISA using the allowance of your late spouse/civil partner

How to fill in this form:

- Please use black ink and write clearly inside the boxes provided using capital letters.
- Mark your answers with a cross in the appropriate box like this:
- **We may need to contact you if you don't complete all details correctly which may cause delays to your application.**
- If you have any queries about this form please visit fidelity.co.uk/bereavement or speak to your adviser, or call our Customer Services Team on **0800 41 41 16**.
- Applications can only be accepted using original forms. Photocopies or faxed copies cannot be accepted.
- If you are transferring the additional permitted subscription from another provider, you will need to complete the Inherited ISA allowance - transfer from current ISA manager form. You can then forward a cheque and this application form as soon as you receive confirmation that our systems have been updated with the value from the other provider.

What's next?

Please send your completed application form to:

**Fidelity
PO Box 391
Tadworth KT20 9FU**

1 About you

Account Number or Customer Reference Number (if applicable)

Title

 Mr Mrs Ms Other:

Surname

First and other names in full

Gender

 Male Female

Your residential address - 'Care of' and PO Box addresses are not allowed for regulatory reasons. By entering your address you are confirming that you are a UK resident. Only UK addresses are eligible unless you or your spouse/civil partner are a Crown Employee or British Forces Posted Overseas.

House number/name

Street, city, county and country

Postcode

Crown Employee?

If your address is outside of the UK and you are a Crown Employee or the spouse/civil partner of a Crown Employee, please mark an X in this box.

Telephone number

Email address

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InheritISAPlnt

3 Your contributions

Please indicate below how you are planning to use the ISA inheritance allowance?

- I wish to use the money from my spouse's/civil partner's ISA account. Please now read through section 4 and then move onto section 6.
- I wish to invest my own money and have enclosed a cheque for the amount below. I understand it is only valid for an investment into the Inheritance ISA allowance and will now complete all sections except sections 4 and 7.

Please provide the total cheque amount:

£ .

Cheque payments

Important: We only accept payment from the account holder. Cheques should be issued from your personal or joint bank account. Cheque must be payable to Fidelity and include the account holders name .e.g. 'Pay Fidelity - re John Smith'. If an application is accompanied by a bankers draft or building society cheque, the reverse of the draft/cheque must contain details of the original account debited; full name, account number and sort code. Cheque must be endorsed with the banks official stamp.

4 Transferring the investment of your late spouse/civil partner into your inherited ISA

- The additional inheritance allowance expires 3 years after the date of death or 180 days after administration of the estate is complete.
- This allowance can be invested in stocks and shares or cash, or any combination of the two.
- For an investor who died **on or before 5 April 2018** the allowance is equal to the value of the ISA on the date of their death even if the value at closure is greater.
- Any monies that are in excess of the inherited ISA allowance will be held in your cash management account awaiting your instruction. If you don't have a cash management account we will open one for you.
- For an investor who died **on or after 6 April 2018** the allowance is either equal to the value of the ISA on the date of their death, or the value at the closure of the account depending on which is higher.
- **We will use the same investment choices as your late spouse/civil partner.**
- Sealed copy of the Grant of Representation should be submitted for registration in order for Fidelity to accept instruction signed by the Executor(s)/Administrator(s).

7 Executor/Administrator declaration and signature(s)

In order to use your spouse's/civil partner's ISA account to fund your ISA Inheritance Allowance, please ensure the Executor(s) or Administrator(s) named on the Grant of Representation sign below.

- I/We the Executor(s)/Administrator(s) of the Estate understand that the information I/we provide on this application form will be processed in accordance with Fidelity's data protection statement contained in the Client Terms.
- I/We the Executor(s)/Administrator(s) of the Estate request that the late account holders ISA is transferred into the ISA inheritance allowance of the person named in section 1.

Signatures of all Executors/Administrators

Executor/Administrator 1



Print name

Date of birth (DDMMYYYY)

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Telephone number

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Executor/Administrator 3



Print name

Date of birth (DDMMYYYY)

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Telephone number

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Executor/Administrator 2



Print name

Date of birth (DDMMYYYY)

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Telephone number

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Executor/Administrator 4



Print name

Date of birth (DDMMYYYY)

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Telephone number

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All Executors/Administrators must sign

Corporate bodies should execute under their common seal, or otherwise as determined by their Memorandum and Articles of Association.

8 Intermediary details - to be completed by the intermediary

Company stamp

Unique Adviser Number

FCA number

I confirm that I am registered with the FCA to conduct business and my authorisation number is:

Remuneration details

An Adviser Ongoing Fee cannot be applied to this type of investment using an application form. If you would like to setup an Adviser Ongoing Fee this must be done online once the assets have been received.

Verification of identity

I/We confirm and consent to Fidelity's reliance on the fact that I/we have verified the client in accordance with the UK Money Laundering Regulations and standards set in guidance issued by the JMLSG and will retain the supporting documentation for 5 years after the end of the relationship with the client.

This confirmation must carry an original signature or electronic equivalent.

I/We confirm that I/we have provided the client with the appropriate documentation for their investment:

- The Key Features Document - Doing Business with FundsNetwork.
- The key information document applicable to the client's investment.
- Personal Illustration.
- The FundsNetwork Client Terms.

Intermediary signature



Date signed

(DDMMYYYY)

9 Declaration and signature

Your Fidelity ISA is managed by Financial Administration Services Limited, a FIL Group Company. I understand that the information I provide on this application form will be processed in accordance with Fidelity's data protection statement contained in the Fidelity Client Terms.

I declare that:

- By signing this form I confirm that I am not a US citizen, that I am not resident in the US, and that I do not have an obligation to pay tax to the US tax authorities on my worldwide income.
- I have read and saved or printed the latest version of:
 - The Key Features Document
 - Doing Business with Fidelity incorporating the Fidelity Client Terms or Doing Business with FundsNetwork.
 - the key information document
 - the illustration document
 - the Investment Trusts Key Features Document for investments in these products

Important Notice: If you have not received one or all of the documents listed above relating to the asset(s) you wish to invest in, please go to [fidelity.co.uk](https://www.fidelity.co.uk) or contact us on **0800 41 41 16** Monday to Friday 8am to 6pm and Saturday 9am to 6pm.

- I accept the Fidelity Client Terms relating to the inherited ISA allowance.
- I have not subscribed, and will not subscribe, more than the overall subscription limit.
- All subscriptions made, and to be made, belong to me and that I am 18 years of age or over.
- I am the surviving spouse / civil partner of the account holder identified in section 2 and was living with the deceased within the meaning of section 1011 of the Income Tax Act 2007 at the date of the deceased's death.
- The subscription is made under the provisions of regulation 5DDA of the ISA regulations.
- The subscription is being made by a payment of cash or transfer.
- That, in the permitted period, I have not made, with any other ISA manager, any subscription permitted by the regulation 5DDA in respect of the deceased spouse/civil partner.
- The subscription is being made in the period beginning with the date of the deceased's death and ending:
 - (i) no more than 3 years thereafter, or in the case of the deceased's death occurring in the period beginning with 3rd December 2014 and ending with 5 April 2015, no later than 5 April 2018; or
 - (ii) no more than 180 days after administration of the estate is complete.
- I authorise Financial Administration Services Limited:
 - to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
 - to make on my behalf any claims to relief from tax in respect of ISA investments.
- The information given by me is correct to the best of my knowledge, and I will inform Fidelity immediately of any changes to the information contained therein.
- I understand that to use my inherited ISA allowance, all investments will either be transferred by a stock

transfer of units from the account into my inherited ISA account or will be sold and then re-purchased. If all investments are sold and then re-purchased, I understand that this will usually take between 5 and 10 days but may take longer in certain circumstances. During this period your money will remain un-invested so you may incur a loss due to market movement. You may also incur taxes and other applicable dealing charges (such as bid-offer spread, broker dealing, Fund Manager's Sell Charges or Fund Manger's Buy Charges) on this transaction. Fidelity will not be held responsible or liable for any loss, taxes or charges you incur during this process.

Signing on behalf of others

If you are an attorney signing on behalf of the applicant, you must attach:

- an original sealed Court of Protection/Enduring Power of Attorney stamped by the Office of the Public Guardian, or
- Power of Attorney

Copies of the Power of Attorney must, on every page, be certified as true copies with:

- the words 'I certify this to be a true copy of the original', and
- the certifier's signature and printed name, date, official stamp or professional capacity.

Documents can be certified by a solicitor, justice of the peace, notary public, commissioner of oaths or stockbroker.

Your signature

By signing here you confirm that you've read and completed all relevant sections as per the instructions on this form.

Signature



Print name

Date signed

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 (DDMMYYYY)

Online Reporting and Documentation

Register for online account management and receive all your contract notes, statements and valuations, updates to terms and conditions, and other documentation electronically online.

Go to [fidelity.co.uk](https://www.fidelity.co.uk)